

HERTSMERE BOROUGH COUNCIL

Meeting name & Date	AUDIT COMMITTEE 30 January 2019
Agenda item	12
Report title	Risk Management Update
Report reference no.	A/19/08
Wards affected	All wards
Report author, job title & email	Fiona Timms, Shared Risk Manager fiona.timms@hertfordshire.gov.uk
List of Appendices	Appendix A – “red risk” and withdrawn risk
Reason for urgency	Not applicable

PUBLIC REPORT - this report is available to the public.

1 RECOMMENDATION

- 1.1 **that the Committee notes the Strategic risks** and in particular
- The removal of the risk of “Illegal encampments” as a Strategic risk.

2 PURPOSE OF THIS REPORT

- 2.1 This report summarises the Strategic Risks for Hertsmere Borough Council Red Risks and those with changed assessments are included in Appendix A.

3 BACKGROUND

- 3.1 At the Oct 2018 meeting, the Committee noted the following changes to the Strategic risk register:
- The new risk “Illegal encampments”
 - The reduction in likelihood of the risk “Data Protection and Information Management”. This reduction was as a result of the Council implementing measures to ensure compliance with GDPR, including the provision of training and working towards the development of appropriate policies. This work includes discussions with other authorities about a possible shared service arrangement.
- 3.2 The Committee resolved at its meeting in July 17 that full details of the risks in boxes 14, 15 and 16 (RED Risks) are provided. There is one risk falling within this scope on the Strategic register, “Supply of Affordable Social Housing & Homelessness”. The full register entry for this risk is included in Appendix A.

4 CHANGES TO STRATEGIC RISKS (included in Appendix A)

- 4.1 The new risk reported in Oct-18 “Illegal encampments” has been reviewed. In November 2018, the likelihood was reduced from 4 to 3. This followed the granting of the temporary injunction by the High Court of Justice covering 95 sites in Hertsmere on 1 November 2018. On 17 January this injunction has been put in place for three years and as a result this risk has now been removed as a Strategic risk.
- 4.2 The remaining risks were reviewed by the Corporate Governance Group on 14 January 2019 and no other changes were required to assessments.
- 4.2 Table 1 plots the current strategic risks in relation to their risk assessment.

Table 1 – Strategic Risk Matrix as at 18 January 2019

Likelihood	4	7	11 External Financial Pressures [SO12 FBS3]	14 Supply of Affordable Social Housing & Homelessness [SO01]	16
	3	4	8 CIL [SO19] IT Disaster Recovery SO13 Role of Elected Members on Council Company Boards [SO30]	12 Collection of Housing Benefit Overpayments [SO24] Cyber risks [SO23] Workforce Capacity [SO14] Compliance with revised Planning Legislation [SO21] Newberries car park development scheme [SO22] [Illegal encampments [SO31] (14) ↓ to be removed]	15
	2	2	5	9 Elstree Way Corridor [SO16]	13 Business Continuity Management [SO25] Asset Development [SO29] Data Protection & Information Management [SO11]
	1	1	3	6	10
		1	2	3	4
	Impact				

6 FINANCIAL AND BUDGET FRAMEWORK IMPLICATIONS

6.1 None for the purposes of this report.

7 LEGAL POWERS RELIED ON AND ANY LEGAL IMPLICATIONS

7.1 None for the purposes of this report.

8 EFFICIENCY GAINS AND VALUE FOR MONEY

8.1 None for the purposes of this report.

9 RISK MANAGEMENT IMPLICATIONS

9.1 The Council’s Strategic Risks and the measures in place to mitigate them are the focus of this report.

10 PERSONNEL IMPLICATIONS

10.1 None for the purposes of this report

11 EQUALITIES IMPLICATIONS

11.1 The Equality Duty is considered through the risk management framework. The risks that may lead to failure to comply with the Duty is a category that is considered when recording risks.

12 CORPORATE PLAN and POLICY FRAMEWORK IMPLICATIONS

12.1 None for the purposes of this report.

13 ASSET MANAGEMENT IMPLICATIONS

13.1 At the present time, there is a Strategic Risk covering the implications of Asset Development.

14 HEALTH AND SAFETY IMPLICATIONS

14.1 None for the purposes of this report

15 BACKGROUND DOCUMENTS USED TO PREPARE THIS REPORT

Document Title:	Filed at:

16 CONSULTATION ON DRAFT REPORT

16.1 A draft of this report was sent to the following on the following dates:

Consultee	Report sent	Comments rcvd
Chief Executive & Corporate Director (COB)		18/01/19
Head of Finance		
Head of Legal & Democratic Services		
Head of HR & Customer Services		
Corporate Governance Group attendees	17/01/19	